

# FIXED ANNUITY SUITABILITY WORKSHEET

## PERSONAL INFORMATION

	Owner Name	Joint Owner(s)
Name		
Age		

## PERSONAL INFORMATION

**1) Type of Plan:**

Non-Qualified\_\_\_ IRA\_\_\_ Roth IRA\_\_\_ SEP IRA\_\_\_ Simple IRA\_\_\_  
 Other\_\_\_\_\_

**2) Is the Owner Employment Status?**

Employed\_\_\_ Retired\_\_\_ Other\_\_\_\_\_

**3) Is the Joint Owner(s) Employment Status?**

Employed\_\_\_ Retired\_\_\_ Other\_\_\_\_\_

## FINANCIAL PROFILE

**1) Annual Gross Income:**

\$0-\$99,999\_\_\_ \$100,000 - \$299,999\_\_\_ \$300,000- \$500,000\_\_\_  
 \$500,000 and over \_\_\_

**2) Source of Income (check all that apply)**

Salary\_\_\_ Investments\_\_\_ Social Security\_\_\_ Retirement Plans\_\_\_  
 Other (explain)\_\_\_\_\_

**3) Estimated Net Worth (excluding primary residence, automobile and furnishings)**

\$0-\$99,999\_\_\_ \$100,000 - \$299,999\_\_\_ \$300,000- \$500,000\_\_\_  
 \$500,000 and over \_\_\_

**4) Financial Objectives (check all that apply)**

Income\_\_\_ Growth\_\_\_ Tax Deferral\_\_\_ (Estimated Federal Tax Bracket\_\_\_%)  
 Estate Planning\_\_\_ Preservation of Principal\_\_\_ Other (explain) \_\_\_\_\_

**5) Period of Time Before Money is Needed**

1-3 years\_\_\_ 4-6 years\_\_\_ 7-9 years\_\_\_ 10-12 years\_\_\_ 13 or more years\_\_\_

**6) Current Investments**

CD\_\_\_ Fixed Annuity \_\_\_ Variable Annuity\_\_\_ Stocks\_\_\_ Bonds\_\_\_ Mutual  
 Funds\_\_\_ Options\_\_\_ Partnerships\_\_\_ Real Estate\_\_\_ Personal Business\_\_\_

**7) Willingness to Accept Risk for Financial Performance?**

Aggressive\_\_\_ Moderate\_\_\_ Conservative\_\_\_

I acknowledge that the information provided is accurate to the best of my knowledge.

\_\_\_\_\_  
 Signature of Owner

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Signature of Joint Owner(s)

\_\_\_\_\_  
 Date